

2022 NCHA World Championship Futurity

In cooperation with the City of Fort Worth and Visit Fort Worth

Terms and Conditions – Revised 4/19/2022

1. This event is hosted by the National Cutting Horse Association to be held in Fort Worth, TX at the Will Rogers Memorial Center. The NCHA reserves the right to limit/decline entries for any reason.
2. Membership: All owners named on horse papers and riders of entered horses must be members in good standing of the National Cutting Horse Association. Owner memberships can be either individuals or partnerships.
3. Horse Age: The Futurity is for three (3) year old horses (foals of 2019), all breeds.
4. Divisions & Levels Offered
 - a. The NCHA Futurity will be held in three Divisions:
 - i. **Open** – Entry is made by horse. Owners may enter an unlimited number of horses in the Open Division. Once named, horse limits are imposed on the rider.
 - i. **Non-Pro** – Entry shall be made by rider. Horse limits are imposed on the rider.
 - ii. **Amateur** – Entry shall be made by rider. Horse limits are imposed on the rider.
 - b. A horse may be entered in all three Divisions – but only once per level.
 - c. Within all divisions, there are additional levels based on RIDER eligibility:
 - i. Open
 1. Open Top Tier
 2. Intermediate Open
 3. Limited Open
 - ii. Non-Pro
 1. Non-Pro Top Tier
 2. Intermediate Non-Pro
 3. Limited Non-Pro
 - iii. Amateur
 1. Amateur Top Tier
 2. Intermediate Amateur
 3. Limited Amateur
 - d. Entering Multiple Levels within a Division
 - i. Open – riders will automatically be entered into the division for which they are eligible.
 - ii. Non-Pro riders will automatically be entered into the division for which they are eligible.
 - iii. AMATEUR – Riders have two choices when entering more than one level.
 1. Entering Two Levels

- a. Option 1 = **Separate Entries**. Entry can be made into two separate levels. The rider will ride twice into the herd. Example, Rider A enters the Amateur Top Tier and the Limited Amateur separately. They receive 2 draws within the first go round and 2 separate scores. The horse will not be drawn twice in the same set.
- b. Option 2 = **Combo Entry**. Entry can be made into a combo for the 2 levels where the rider receives only 1 draw within the first go and only 1 score for both levels. Example, Rider B enters the Amateur Top Tier and the Limited Amateur Combo. They receive 1 draw within the first go round and that 1 score counts for both levels.

2. Entering Three Levels

- a. Option 1 = **1 Separate Plus 2 Combo**. A horse cannot show more than twice in the same division. Entry can be made into one level separately and the other two as a combo. Example, Rider C enters the Intermediate separately and the Amateur Top Tier and Limited Amateur Combo. They receive 2 goes in the division go round. The score for the Intermediate stands alone and the score for the Amateur Top Tier and Limited Amateur Combo counts for both the Amateur Top Tier and the Limited Amateur.
- b. Option 2 = **All 3 as a Combo**. A rider only wants to ride to the herd 1 time but wants to enter all 3 levels. They enter the Amateur – Intermediate – Limited combo. Example, Rider D enter the triple level combo. They will receive only 1 draw for the division and that 1 score will count for all 3 levels.

e. Subclasses

- i. Within all Divisions / Levels, there are additional subclasses based on additional criteria. See the Subclass section below.

5. Level Eligibility

- a. Time Frame - Eligibility for each of the 3 levels are determined by the value of the Rider's Total Lifetime Earnings as of December 28th of the previous year. Total Lifetime Earnings include both Limited Aged Event earnings and Weekend earnings. All NCHA earnings includes AWO & AQHA.
- b. Level Earning Limits:

| Division | Rider Lifetime Total Earnings |
|----------------------|-------------------------------|
| Open | \$750,000 or more |
| Intermediate Open | \$200,001 - \$749,999*+ |
| Limited Open | \$0 - \$200,000 |
| | |
| Non-Pro | \$500,000 or more |
| Intermediate Non-Pro | \$100,001 - \$499,999*+ |
| Limited Non – Pro | \$0 - \$100,000 |

| | |
|----------------------|-----------------------|
| | |
| Amateur | \$100,000 or more |
| Intermediate Amateur | \$25,001 - \$99,999*+ |
| Limited Amateur | \$0 - \$25,000 |

c. Exceptions:

- i. There is no exception that allows a rider to return to the Limited level.
- ii. *Senior Exception: Riders aged 70 and older as of date the level begins can enter the Intermediate level in all divisions regardless of earnings or rider rankings.
- iii. *Intermediate Revolving Door Exception: Open, Non-Pro or Amateur riders not on the Top 100 money earning rider list for the previous two-point years in any division, may enter the Intermediate level in their respective division(s).
- iv. Amateur Earnings Cap
 1. Amateur Competitors 70 and older as of the day the 1st go of the Amateur begins may enter the top tier Amateur or the Intermediate Amateur.
 2. Qualified Amateur competitors who are 60-69, may compete in the top tier Amateur class regardless of Limited Age Non-Pro earnings.
 3. Amateur competitors under the age of 60 remain subject to the \$250,000 LAE Non-Pro Cap earnings cap. If eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.
- v. Non-Pro competitors remain subject to Standing Rule 50.d.6.
 1. If Open eligibility limits are surpassed during the show, the exhibitor can complete the show in that specific level or division.

d. Ineligibility

- i. Prior to the draw - all efforts will be made to identify ineligible entries prior to the draw. If a rider has attempted to compete in these classes and the horse or rider is found to be ineligible, disciplinary action may be taken.
- ii. In all cases, including rider changes after the draw, the burden of proof of eligibility is on the rider.
- iii. In the case where a rider competes in a level for which he/she is not eligible, they will be disqualified, no premium monies paid, and no refunds will be issued.

6. Entry Options / Slots

- a. Open Division:
 - i. Entry must be made in the name of the Horse. This is NOT a Slot.
- b. Non-Pro Division:
 - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. You may enter under your name (slot).
- c. Amateur Division Levels:
 - i. You may name your horse when you send in the first payment. Proof of horse ownership is required by NCHA standing rules and must accompany the entry.
 - ii. You may enter under your name (slot).
- d. Sub Classes:

- i. Rules For all:
 - 1. Subclass entries must have a corresponding entry in the same Division / Level pairing.
 - 2. In the event there are less than three (3) entries at the start of the go round for any subclass, class will not be held, and entries will be refunded.
- ii. Senior Subclass:
 - 1. Available for entry in all Divisions / Levels
 - 2. Riders must be 60 years of age or older at the time of the first day of the class go-round and date of birth is required at entry.
 - 3. Riders may ride two (2) horses of any sex.
 - 4. This subclass will have a non-working final.
 - 5. Intermediate Open Senior / Intermediate Non-Pro Senior must be entered separately. ENTRY IS NOT AUTOMATIC.
 - 6. Payout will be made according to the NCHA payout, to the highest advancing horses.
- iii. Gelding Subclass:
 - 1. Available for entry in all Division / Levels
 - 2. Proof of gelding status must accompany entry. Please submit notification to the NCHA office of gelding updates.
 - 3. Riders may ride two (2) horses.
 - 4. This subclass will have a non-working final.
 - 5. Intermediate Open Senior / Intermediate Non-Pro Gelding must be entered separately. ENTRY IS NOT AUTOMATIC.
 - 6. Payout will be made according to the NCHA mandatory payout, to the highest advancing horse.
- iv. Chrome Cash Subclass:
 - 1. Available for entry in all Division / Levels
 - 2. Proof of American Paint Horse Registration must accompany entry.
 - 3. Riders may ride two (2) horses.
 - 4. This subclass will have a non-working final.
 - 5. Intermediate Open Senior / Intermediate Non-Pro Chrome Cash must be entered separately. ENTRY IS NOT AUTOMATIC.
 - 6. Payout will be made according to the NCHA mandatory payout, to the highest advancing horse.

7. Horse Limits / Disqualifications

- a. Riders may ride a maximum of two (2) horses of any sex in each Futurity Division.
- b. Disqualifications
 - i. Any violation of this rule will cause the rider to be disqualified from the contest, with possible additional disciplinary action.
 - 1. Open: Any horse(s) **over the allowed two** will be disqualified. The disqualified entry or entries will be determined by the latter placement in the draw.

2. Non-Pro & Amateur levels: **ALL** entries will be disqualified in that level or sub-class.

- c. **Upon being entered, a horse cannot be shown in any event involving cattle from that time until the Futurity. Substituted horses and late entries must not have been shown after September 15, 2021. In addition, a horse cannot be worked on cattle in Will Rogers Coliseum prior to the Futurity.**

8. Entry Fees and Payment Schedules

OPEN

| DEADLINE AND AMOUNT DUE: | | PAYMENT BREAKDOWN | | |
|--|-----------------|----------------------|--------|---------------------------------------|
| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
| Paid in Full Discount: See below for Fees | \$3,070* | | | |
| #1 – On/Before Nov 1 st | \$200 | \$200 | | \$400 |
| #2 – On/Before Jan 3 rd | \$500 | \$500 | | \$1,000 |
| #3 – On/Before Aug 1 st | \$1,100 | \$1,100 | | \$2,200 |
| #4 – On/Before Oct 3 rd | \$1,600 | \$900 | \$600 | \$3,000 |
| Total | \$3,400* | \$2,700 | | |

* Open includes optional \$100 PAC Fee

Paid in Full Discount without penalties

Non Futurity Sale Horses – Prior to November 1st = \$3,070

Futurity Sale Horses – paid at the sale = \$3,070

Paid in Full Discount with penalties

On Or Before August 1 = \$3,070 PLUS Late penalties for all missed payments

NON-PRO

| DEADLINE AND AMOUNT DUE: | | PAYMENT BREAKDOWN | | |
|--|-----------------|----------------------|--------|---------------------------------------|
| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
| Paid in Full Discount: See below for Fees | \$2,685* | | | |
| #1 – On/Before Nov 1 st | \$200 | \$200 | | \$400 |
| #2 – On/Before Jan 3 rd | \$500 | \$500 | | \$1,000 |
| #3 – On/Before Aug 1 st | \$900 | \$900 | | \$1,800 |
| #4 – On/Before Oct 3 rd | \$1,375 | \$700 | \$600 | \$2,600 |
| Total | \$2,975* | \$2,300 | | |

* Non-Pro includes optional \$75 PAC Fee

Paid in Full Discount **without** penalties

Non Sale Horses – Prior to November 1st = \$2,685

Futurity Sale Horses – paid at the sale = \$2,685

Paid in Full Discount **with** penalties

On Or Before August 1 = \$2,685 PLUS Late penalties for all missed payments

TOP TIER AMATEUR (Stand Alone)**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$800 | \$800 | | \$1,200 |
| On/Before Oct 1 st | \$1,120 | \$600 | \$500 | \$1,650 |
| Total | \$1,920* | \$1,400 | | |

* Top Tier Amateur includes optional \$20 PAC Fee.

INTERMEDIATE AMATEUR (Stand Alone)**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$700 | \$700 | | \$1,050 |
| On/Before Oct 1 st | \$1,020 | \$500 | \$500 | \$1,500 |
| Total | \$1,720* | \$1,200 | | |

* Intermediate Amateur includes optional \$20 PAC Fee

LIMITED AMATEUR (Stand Alone)**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$650 | \$650 | | \$975 |
| On/Before Oct 1 st | \$870 | \$350 | \$500 | \$1,275 |
| Total | \$1,520* | \$1,000 | | |

* Limited Amateur includes optional \$20 PAC Fee

COMBO: AMATEUR + INTERMEDIATE + LIMITED**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$1,700 | \$1,700 | | \$2,550 |
| On/Before Oct 1 st | \$2,420 | \$1,900 | \$500 | \$3,600 |
| Total | \$4,120* | \$3,600 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + INTERMEDIATE**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$1,300 | \$1,300 | | \$1,950 |
| On/Before Oct 1 st | \$1,820 | \$1,300 | \$500 | \$2,700 |
| Total | \$3,120* | \$2,600 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: AMATEUR + LIMITED**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$1,250 | \$1,250 | | \$1,875 |
| On/Before Oct 1 st | \$1,670 | \$1,150 | \$500 | \$2,475 |
| Total | \$2,920* | \$2,400 | | |

* This Combo includes optional \$20 PAC Fee.

COMBO: INTERMEDIATE + LIMITED**DEADLINE AND AMOUNT DUE:****PAYMENT BREAKDOWN**

| | TOTAL | Jackpot ⁺ | Office | Payment & Penalty (after deadline) |
|-------------------------------|-----------------|----------------------|--------|---------------------------------------|
| On/Before Aug 1 st | \$1,150 | \$1,150 | | \$1,725 |
| On/Before Oct 1 st | \$1,570 | \$1,050 | \$500 | \$2,325 |
| Total | \$2,720* | \$2,200 | | |

* This Combo includes optional \$20 PAC Fee.

***PAC Fees:** Under Texas Election Code, Chapter 253, the Political Action Committee (PAC) fee is a voluntary contribution. Funding the PAC is important to be able to maintain state funding at the NCHA Triple Crown Events. Your contribution will provide critical assistance to the NCHA with this effort. To opt out of the PAC fee, submit your payment without the amount indicated as the PAC fee for your class.

Senior Subclass offered with all Divisions / levels (Int Open/ Int Non-Pro NOT AUTO ENTRY)

| | Total | Jackpot ⁺ | Office |
|---|--------------|----------------------|--------|
| Subclasses must be entered prior to the first horse in the respective Go Round works. | \$600 | \$500 | \$100 |

Gelding Subclass offered with all Divisions / levels (Int Open / Int Non-Pro NOT AUTO ENTRY)

| | Total | Jackpot ⁺ | Office |
|---|--------------|----------------------|--------|
| Subclasses must be entered prior to the first horse in the respective Go Round works. | \$600 | \$500 | \$100 |

Chrome Cash Subclass offered with all Divisions/ levels (Int Open / Int Non-Pro NOT AUTO ENTRY)

| | Total | Jackpot ⁺ | Office |
|---|--------------|----------------------|--------|
| Subclasses must be entered prior to the first horse in the respective Go Round works. | \$600 | \$500 | \$100 |

⁺A one percent (1%) deduction will be made from every jackpot for the NCHA special Events Fund.

9. Entry Deadlines / Penalties / Late Entries

a. **Mailed Entries / Payments**

i. **Entries must be postmarked ON or before the due date.**

b. **Online Entries / Payments**

i. **Submissions must be received prior to 11:59 pm ON the due date.**

c. **Penalties apply the day following the deadline on all payments.**

d. **Due dates for all payments are listed in section 8. Entry fee breakout (Jackpot, Office, PAC are listed in section 8 above).**

e. Open & Non-Pro Division

| Date | Late Payment Calculation |
|--------------------------------------|---|
| Through October 1 | Add missed Jackpot + Office payment(s) together and multiply by 2. PLUS, any remaining fees due through October 1 |
| October 2 – 1 st Go Round | Add missed Jackpot + Office payment(s) together and multiply by 2. |

f. Amateur Stand Alone Divisions (or Combos not made by adding to an EXISTING entry)

| Date | Late Payment Calculation |
|---------------------------------------|--|
| Through October 1 | Payment PLUS 50% of first payment due (Jackpot) |
| October 1 – Draw | Payment PLUS 50% of Jackpot + Office payment(s) due |
| After draw – 1 st Go Round | Add missed Jackpot + Office payment(s) together and multiply by 2. |

g. ADDING an Amateur Combos to EXISTING Amateur Entry

| Date | Late Payment Calculation |
|---------------------------------------|--|
| Through Draw | No penalty |
| After draw – 1 st Go Round | Payment PLUS 50% of entry fee (Jackpot + Office) |

h. Subclasses

No penalties on subclass addition. However, subclasses must be entered prior to the first horse in the respective Go Round works.

- i. **Non-Payment:** Issuance of a check that is not honored for any reason or a credit card payment that is declined for any reason to cover an entry fee shall constitute no payment. Entries that are deemed Non-Payment will have Penalties assessed according to the dates and schedules listed above.
- j. **Failure to Pay:** All payments must be made payable to the National Cutting Horse Association in United States funds or its equivalent and received in the office by the due date. All fees, including late penalties, must be paid on or before the draw or entry will be cancelled without refund.

10. Refunds

- a. Entry Fees may be refunded due to the death of the entered rider, owner or an immediate family member of the rider or owner as defined in NCHA Rule 50.4.d; 30 days or less before the 1st day of the event. Requests for refunds shall be accepted from the estate executor/executrix and refunds will be made payable to the estate of the rider/owner as determined appropriate by Probate Court. Any refund request shall be accepted until 48 hours after an event completed to file a request for a refund.
- b. Subclasses that are not held when minimum entry requirement is not met will be refunded to the original payer.
- c. There will be no refunds for any other reason.

11. Purse Payouts

- a. Payouts in all classes at NCHA produced events will be made in:
 - i. Open Levels and subclasses to the owner on record at the time of the event.
 - ii. Non-Pro and Amateur Levels and subclasses to the rider.
- b. All working and/or non-working finalists will receive a payout.

12. Advancement Criteria & Payout Calculations

- a. Stipulations applying to all Divisions and Levels:
 - i. LATE entries (after the draw)
 - 1. Will not be included when calculating the advancing number of entries to the second go, semifinals, or finals.
 - 2. Will be required to work last in the herd. Additional cattle will not be added for late entries.
 - ii. Only scores of 181 or higher will advance further in competition.
 - iii. All other horses will be dropped from further competition.
 - iv. When finals are held, scores made in the go rounds and semifinals will be disregarded. ONLY scores made in the finals will be used to determine final placings.
 - v. All advancing counts will take ties.

b. Open Division – Based on Entry counts at time of draw[^]:

| # of Entries | ADVANCING TO 2 ND GO | ADVANCING TO SEMIFINALS | ADVANCING TO FINALS |
|--------------------------------------|------------------------------------|-----------------------------------|------------------------|
| OPEN | | | |
| 0 - 99 | Top 60% Max 240 | None | Top 20 |
| 100 - 600 | Top 60% Max 240 | Top 20% Composite Min 45 - Max 60 | Top 20 |
| 601+ | Top 60% Max 240 | Top 20% Composite Min 45 - Max 75 | Top 20 |
| INTERMEDIATE OPEN[^] | | | |
| 0 - 24 | Open Advancing* | | Non Working Mandatory |
| 25+ | Open Advancing* | | 20% Min 6 Max 25 |
| LIMITED OPEN[^] | | | |
| 0 - 24 | Open Advancing* | | Non Working Mandatory |
| 25+ | Open Advancing* | | 20% Min 6 Max 25 |

* Intermediate and Limited riders that advance in the Open will run concurrently in the Open 2nd Go. Intermediate & Limited finals will be based on the highest advancing scores.

[^]Advancing counts for the Open Top Tier will be determined at the draw. Intermediate and Limited Open levels will be determined at the start of the first go. Rider changes after the draw will be automatically entered in the Intermediate and Limited (if eligible) and will affect Intermediate and Limited advancing counts.

i. Open Payouts (All Levels)

1. TOTAL PURSE will be split by a percentage between the 3 levels.

c. Non-Pro Division – Based on Entry counts at time of draw[^]:

| # of Entries | ADVANCING TO 2 ND GO | ADVANCING TO SEMIFINALS | ADVANCING TO FINALS |
|---|------------------------------------|-----------------------------------|------------------------|
| NON-PRO | | | |
| 0 - 99 | Top 60% | None | Top 20 |
| 100+ | Top 60% Max 240 | Top 20% Composite Min 45 - Max 60 | Top 20 |
| INTERMEDIATE NON-PRO[^] | | | |
| 0 - 24 | Non-Pro Advancing* | | Non Working Mandatory |
| 25+ | Non-Pro Advancing* | | 20% Min 6 Max 25 |
| LIMITED NON-PRO[^] | | | |
| 0 - 24 | Non-Pro Advancing* | | Non Working Mandatory |
| 25+ | Non-Pro Advancing* | | 20% Min 6 Max 25 |

* Intermediate / Limited riders that advance in the Non-Pro will run concurrently in the Non-Pro 2nd Go. Intermediate & Limited finals will be based on the highest advancing scores.

[^]Advancing counts for the Non-Pro Top Tier will be calculated at time of draw. Intermediate / Limited Non-Pro levels will be determined at the start of the first go. Unnamed Slots and rider changes after the draw will be automatically entered in the Intermediate and Limited (if eligible) and will affect Intermediate and Limited advancing counts.

i. Non-Pro Payouts (All Levels)

1. TOTAL PURSE will be split by a percentage between the 3 levels.

d. Amateur Division – Based on Entry counts at time of draw:

| # of Entries | ADVANCING TO SEMIFINALS ⁺ | ADVANCING TO FINALS |
|-----------------------------|--------------------------------------|-----------------------------------|
| AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |
| INTERMEDIATE AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |
| LIMITED AMATEUR | | |
| 0 – 24 | None | Non Working Mandatory |
| 25 – 99 | None | Top 20% Max 30 |
| 100+ | Top 50% Composite Max 75 | Top 20% Max 30 (2 sets of cattle) |

⁺There will be payouts to all semifinalists.

13. Naming of Non-Pro / Amateur Slots

- a. Each Non-Pro or Amateur entry shall name the horse or horses to be ridden before the draw.
- b. If a Non-Pro or Amateur rider does not name their horse or horses before the draw, the working order will be drawn in the name of the rider.
- c. Multiple Unnamed Slots – If a rider has more than one slot and names the horses after the draw:
 - i. All horses named – the horses shall work in alphabetical order.
 - ii. One horse named / One unnamed – the named horse will work in the first assigned draw and the other draw will be left vacant.
- d. Late Naming Fees
 - i. Unnamed Horses may still be named for the following fees:
 1. \$400 if named after the draw but before 5pm the evening before the start of the first go round.
 2. \$500 if named after 5pm on the evening prior to the start of go round but before the beginning of the first go round.
 3. 2x the original entry fee (Jackpot + Office) if named after the beginning of the first go round.

14. Selling of Non-Pro / Amateur Slots

- a. Prior to the draw
 - i. A Non-Pro or Amateur rider's slot or named entry may be sold to another Non-Pro or Amateur without additional fees.
- b. A Non-Pro or Amateur may sell their slot or named entry after the draw but before the beginning of the first scheduled go-round. The buyer will be required to submit horse registration papers, a completed transfer with original horse papers, or a bill of sale showing proof of ownership of an unregistered horse to the NCHA prior to the beginning of the first scheduled go-round.
- c. Additional Stipulations After the draw for named horses:

- i. When a Slot for a named horse is sold, the named horse cannot be re-entered.
 - ii. When a named horse is purchased, the new owner can either:
 - 1. Show in the original draw position for that horse or
 - 2. Purchase a slot and show in that slot's draw position. If this option is chosen, the original owner of that horses' slot can sell the slot or name a new horse for that draw position.
- d. Buyer Fees:
 - i. \$0 if completed prior to the draw
 - ii. \$400 (\$200 jackpot, \$200 office charge) if completed prior to 5pm the evening before the first go-round starts.
 - iii. \$500 (\$250 jackpot, \$250 office charge) if completed after 5pm but prior to the start of the go-round.
 - iv. Sales that are not complete (including a release from the current owner, new horse registration papers and rider name must be submitted to NCHA for the new entry and all fees paid) prior to the start of the first go round will NOT BE ALLOWED to compete.

15. Double Entry & Transfer Privileges

- a. DOUBLE ENTRIES
 - i. Any HORSE paid up to date in the Open or Non-Pro on or before the August 1 payment may enter in the other division without late penalty.
 - ii. A Non-Pro SLOT paid up to date on or before August 1 payment may make an entry into the Open without incurring late entry penalties PROVIDED you name the horse at the time of the double entry.
- b. Once a horse has been named it is an entry, therefore it cannot be considered for double entry privileges. *If a horse has been entered in both the Open and the Non-Pro divisions by making one or more payments in each division, it is considered an entry. Payments cannot be dropped in one division and later considered for double entry back into that division.
- c. SLOT Transfers
 - i. Entry Made in a Non-Pro rider's name (slot) may not be transferred to the open division.
- d. NAMED Entry Transfers
 - i. A Non-Pro may transfer to the Open division only if their horse was named on the first payment entry form.
- e. A horse (not a slot) may be transferred to or from any division on or before August 1 without penalty and may be transferred until the final payment, October 1 by payment of \$100 transfer fee. A transfer after the final payment but before the draw will be required to pay \$1100 transfer fee.
- f. Owners who transfer a horse from the Non-Pro to the Open are not allowed to repurchase a Non-Pro slot at a later date for the same horse by the same owner.

16. Substitutions (Named Horses):

a. Open Division

- i. Prior to the beginning of the division first go round, horse owners are allowed to substitute another horse owned by the same owner by:
 1. Submitting registration papers for the new horse.
 2. Paying a Substitution fee:
 - a. Before Final Payment = Paying one-half (1/2) again as much as has been paid on original entry (Jackpot + Office) with a maximum of \$500.
 - b. After Final Payment = amount equal to entry fee (Jackpot + Office) - \$3,300.
- ii. No substitution will be allowed after the beginning of the division's first go-round.

b. Non-Pro / Amateur

- i. Prior to the beginning of the division first go round horse owners in a Non-Pro or Amateur Division / Level are allowed to substitute another horse owned by the same owner by:
 1. Notifying the NCHA office
 2. Submitting the registration papers for the new horse
 3. Paying one-half (1/2) again as much as has been paid on original entry (Jackpot + Office) with a maximum of \$500.
- ii. No substitution will be allowed after the beginning of the division's first go-round.
- iii. Reminder - a horse that has had their draw position sold CANNOT re-enter the original level.

17. Change of Ownership: An entry may change ownership and retain eligibility under the new owner, provided the new owner keeps up all payments when due.

18. Declining Entries: Entries to this contest are received subject to the right of the National Cutting Horse Association Limited Age Events Committee to refuse the entry or acceptance of a transfer of any entry without notice to the subscriber. The decisions of the Committee shall be final in all matters.

19. Cancellation: The National Cutting Horse Association reserve the right to cancel this event without notice at any time prior to the contest without liability to them, but in such event, return all entry money to subscribers.

20. Rules applicable to all entries

a. Testing Provisions:

- i. All entries are bound by all rules within the NCHA Rulebook, including Standing Rule 35 A. Failure to comply with the provisions of this rule relating to medication testing may result in severe disciplinary action(s).
- ii. By submitting your entry, you acknowledge that you are familiar with and subject to the provisions of the Medication and Drug Rules and Guidelines contained in the NCHA Rulebook. You also agree that, if requested, you will provide blood samples

from your horse to comply with the random testing provisions contained in those Rules and Guidelines.

- iii. Blood samples will be taken from champions in all working finals.
- iv. Random testing will also be performed throughout the show.
- v. The 15 Minute Rule applies to randomly selected entries as well as champions:
 - 1. Selected entries have 15 minutes from the time of delivery of a medication test form to report to the designated medication testing stall in the show area with the horse identified on the form for testing. If there is any anticipated delay in getting the identified horse to the designated testing stall in the show area, a representative must report to the testing arena within the 15 minute period to make arrangements with the testing veterinarian for the immediate testing of the horse in another location. Any alternate arrangements are at the sole discretion of the testing veterinarian.

b. The Draw

- i. The draw will not take place more than twenty-one (21) days prior to the beginning of the first schedule go-round.
- ii. Only those horses & riders for which payment has been received in the NCHA office will be drawn. There will be no exceptions.
- iii. If a horse properly entered is left out of the draw, a redraw will not be held. To establish a working order for this entry, a judge will draw one number based on the original draw numbers and insert the entry in the behind the entry drawn.

c. Ties – In the event of a tie for the championship in any division:

- i. If two entries tie, a work off will not be held to determine the winner. First and second place premiums will be added together and split fifty percent equally. (i.e. Co-Champions).
 - 1. The winner of the tie breaker for awards will be determined by adding up all five scores from the finals.
 - 2. If still tied, the scores from the previous semifinals and go-rounds will be added.
 - 3. If still tied, the winner will be determined via a coin toss with the riders/owners. The winning horse will select first from the pool of awards, with remaining prizes being selected in alternating fashion.
- ii. If 3 or more entries tie, all premiums will be split equally. A work-off will be held for prizes and the title.

d. Horse Health Papers & Back Numbers

- i. All horses on-grounds will be required to have current 30 day health paper and a negative EIA (Coggins) test.
- ii. Competition Horses will be required to wear a back number while in the Coliseum, Watt Arena, warm up areas and in the practice pen. Back numbers may be picked up from the show office following presentation of health papers.
- iii. Non-Competition horses do not require back numbers but will need to be able to show proof of health papers at show office, stall office or in the practice pen.

- e. Release and Indemnification: By signing the entry form to participate in the NCHA Futurity, being held at The Will Rogers Complex of Ft. Worth, TX (the "WRC"), the entrant acknowledges and agrees that there is inherent danger in participation in the Event due to potential exposure of the entrant and his/her horses to the Novel Coronavirus (the "Coronavirus") at the Event. By signing this entry form, the entrant acknowledges and agrees that:
- i. prior to entering the Event, he/she was made aware of and understood the potential dangers presented by the Coronavirus at the Event;
 - ii. even though the NCHA and the WRC have taken all reasonable steps to present a safe environment for the Event, there are many factors beyond their reasonable control that could lead to potential exposure to the Coronavirus at the Event; and
 - iii. he/she is voluntarily assuming all risk of harm, illness or injury to themselves or any of their horses that may be incurred by participating in the Event, or activities associated with the Event, that are in any way related to the Coronavirus. The entrant further hereby releases the NCHA and the WRC and their respective officers, owners, employees, agents, representatives, directors, shareholders, officials, administrators, contractors, vendors, sponsoring agencies, sponsors, attorneys, and the owners and lessors of animals used to conduct the Event (hereinafter collectively referred to as "Releasees") from any and all liability, claim, damages, injury, judgment, loss, liability, cost and expense (including, without limitation, attorney's fees and costs) incurred by the entrant or his/her horses allegedly arising out of or connected with his/her participation in the Event, or at any activities associated with the Event, that relate in any way to the Coronavirus. This Release includes, but is not limited to, claims for bodily injury and physical illness of the entrants and their horses in any way relating to the Coronavirus, that were allegedly incurred or sustained during the Event or at any activities associated with the Event.

21. Identification of Horses

a. Registration Papers

- i. A photocopy of the registration certificate of a registered horse or required documents for an Unregistered horse* (see below) must be provided to the NCHA office on the dates outlined below:
 1. Horses entered / named prior to August 1 – in office by August 1.
 2. Documentation received after August 1 and thirty days before the trials the entry is subject to a \$100.00 fee.
 3. Horses entered late after August 1, must furnish this identification upon entry. Failure to comply will cause all fees to be forfeited and entry disqualified.
- ii. ***Unregistered horse:** Horse that are not registered with an affiliated breed organization must submit the following to the NCHA office by August 1:
 1. An Unregistered horse application (can be found at nchacutting.com)
 2. A bill of sale
 3. A vet statement attesting to the horse's age
 4. Photos of the horse front & back & both sides

b. Age Verification

- i. A horse is not eligible to participate in the National Cutting Horse Futurity, if its age, as determined by examination of its teeth, exceeds the age shown on its registration certificate on registered horses or the notarized statement of age and description required for unregistered horses. Such determination by dentition examination to be made in accordance with *The Official Guide for Determining the Age of the Horse* as currently published by the American Association of Equine Practitioners.
- ii. **Examination Procedures:** During the NCHA Futurity, each horse will be examined by a licensed equine veterinarian appointed by the NCHA, in its sole discretion, to inspect the horse's teeth for age qualification. The guidelines used for this dental examination will be those set forth by the AAEP (American Association of Equine Practitioners) in effect at the time of the show.
 1. If the dental examination reveals that the horse's age appears to exceed that of the AAEP qualifying age guidelines, the NCHA veterinarian will immediately notify the Director of Shows that there is a potential issue concerning the age the horse.
 2. Upon such notification, the NCHA Director of Shows will immediately contact the owner or the trainer of the horse in question prior to initiating any further testing procedures on the horse.
 3. By entering a horse in the Futurity, the owner agrees that both the owner or trainer of the horse are fully authorized, individually, to consent to or decline to consent to the additional testing procedures on the horse. These additional testing procedures outlined below:
 - a. These additional testing procedures may include, but are not limited to, radiographs, photos and videos of the horse's mouth, including molars.
 - b. Further, these additional testing procedures may include sedation of the horse in question in order to perform the tests.
 4. The owner or trainer of the horse has the option of either immediately withdrawing the horse from any further competition in the Futurity or allowing the horse to immediately undergo the additional testing described herein.
 5. If such additional testing procedures are consented to and performed, they will be done at the owner's sole expense.
 6. If the owner or trainer consents to allow the horse to undergo such additional testing procedures but fails to immediately make the horse available for such additional testing procedures, the horse will be disqualified from further competition in any class at the Futurity.
 7. The records from those additional testing procedures, if any, will be submitted to a veterinary expert on horse teeth, determined by the NCHA in its sole discretion, whose credentials include that of being a Diplomat of American Veterinary Dental College, certified in Equine, for a second opinion as to the horse's age.

8. All costs associated with that expert's examination of the horse will be at the owner's sole expense.
9. This process must be completed, and a favorable opinion rendered as to the age of the horse for the horse to continue to compete at the Futurity. Should there be an unfavorable opinion rendered as to the age of the horse, the horse will be immediately disqualified from competing in any classes at the Futurity.
10. By entering a horse in the Futurity, the owner and trainer agree that the opinion of that expert as to the horse's age will be final and binding for all future NCHA limited age events. A horse that has been disqualified from competing will be allowed to enter future NCHA produced/ approved aged events as a 5/6-year-old.
11. Should a horse be disqualified after the above process, all fees shall be forfeited for that entry and the horse will be disqualified from further competition at the Futurity. However, if that horse is listed as a Non-Pro or amateur entry, the contestant will have the opportunity to substitute a horse or sell their slot according to NCHA rules.

22. Judges & Judging

- a. Separate judges will be used to officiate the Open & Non-Pro divisions of the NCHA World Championship Futurity.
- b. NCHA Judging Rules set forth in the Handbook will apply
- c. The NCHA Adjusted Monitor System, and all rules and policies set forth by that system will be used.
- d. Each horse is allotted two and one-half (2 ½) minutes to work during a run. The working time starts when the rider crosses the official timeline.
 - i. As soon as the horse, owner and rider's name are called to the herd by the announcer (the "rider announcement"), the announcer will start the 1-2 ½ minute clock to let the rider know how much time the rider must cross the official time line and begin the rider's run.
 - ii. If the rider has helped the contestant immediately preceding the rider's run or has drawn back to back in the working order, the rider will have 2 ½ minutes from the rider announcement to cross the official timeline and start their run.
 - iii. If the rider has not helped the contestant immediately preceding the rider's run or has not drawn back to back in the working order, the rider will have 1 minute from the time of the rider announcement to cross the official timeline and start their run.
 - iv. If the rider fails to cross the official timeline in the time allotted under the 1-2 ½ minute clock, a buzzer and an additional minute will be added to the 1- 2 ½ minute clock. (This is the only warning that the rider will receive relating to the 1- 2 ½ minute clock.)
 - v. If the rider receives any additional buzzers relating to the 1-2 ½ minute clock at any time during that show, it will result in a \$500 fine per occurrence. (i.e.- second buzzer is \$500 fine, third buzzer is an additional \$500 fine, etc.)

- vi. All fines assessed under this rule must be paid prior to the rider's exhibiting a horse in any future NCHA produced show.
- vii. All NCHA produced events will use the 1-2 ½ minute clock as described above in each class, including the finals. It will be optional for all other NCHA sanctioned events to use the 1-2 ½ minute clock as described above.

23. These Rules subject to change by action of the Executive Committee only.